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Invention: **COMPOSITION FOR ANTIREFLECTIVE COATING AND METHOD FOR FORMING SAME**

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Highly sensitive positive photoresist compositions.

Positive resists sensitive to UV, electron beam, and x-ray radiation which are alkaline developable are formulated from a polymer material comprising recurrent structures having alkaline soluble groups pendent to the polymer backbone, a portion of which groups are substituted with acid labile groups. The attached drawing shows comparative spectral compositions of 1.4  $\mu\text{m}$  resist films of various compositions according to the present invention.

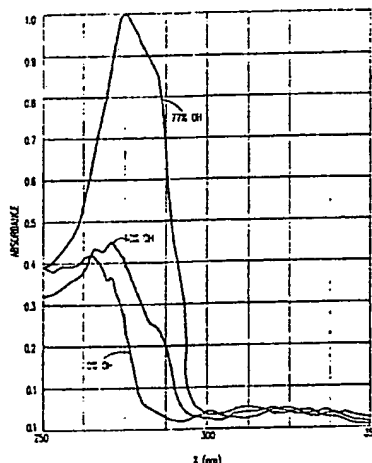


FIG. 4

Xerox Copy Centre

## HIGHLY SENSITIVE POSITIVE PHOTORESIST COMPOSITIONS

The present invention relates to highly sensitive positive photoresist compositions which are mixtures of certain partially substituted polymeric materials and cationic photoinitiators. In particular, there are provided photoresist compositions with greatly improved sensitivity without deterioration of their processability. These compositions which may be conveniently developed with alkaline developers display increased sensitivity to  
 5 ultraviolet (UV), electron beam (E-beam) and X-ray radiation, are thermally stable at temperatures up to about 165° C, adhere readily to silicon dioxide and silicon nitride layers on a substrate, and may be treated with organometallic reagents (e.g., silylating agents) without the necessity of any post development baking. The films formed may be processed with very little image shrinkage on exposure and development and provide essentially crack-free resist layers. The partially substituted polymeric materials comprise recurrent  
 10 structures having alkaline soluble groups pendent to the polymeric backbone, a portion of which groups have been substituted with (protected by) acid labile groups.

The fabrication of semiconductor devices requires the use of resist compositions which maintain imaged patterns during a processing. As the need to increase semiconductor circuit density has dictated a movement from very large scale integration (VLSI) devices to ultra-large scale integration (ULSI) devices,  
 15 the demands for submicron photolithography with sensitivity to produce and maintain ultra-fine tolerances become more critical.

Chemically amplified resist systems having a polymer and sensitizer combination which generate an initial acid from the sensitizer and additional acid from the polymer provide increased sensitivity to UV, e-beam and x-ray radiation.

20 In Ito et al., U.S. Patent No. 4,491 628, resists sensitive to ultraviolet (UV), electron beam and X-ray radiation capable of forming either positive or negative tone patterns dependent upon the choice of developers were disclosed. Such resist compositions are formulated from a polymer having recurrent acid labile groups (such as tertbutyl esters and tertbutyl carbonates) which undergo efficient acidolysis to effect a change in polarity (solubility) and a photoinitiator which generates acid upon radiolysis. The polymer may  
 25 be a copolymer which includes polymers having recurrent acid labile groups. When being used to form positive images the Ito materials have possibility drawbacks that are directly related to the completeness of removal of the acid labile group on the film composition. These factors relate to skin formation, shrinkage, cracking and poor adhesion which require delicate control to overcome.

In Ito et al., U.S. Patent No. 4,552,833, there is provided a process for generating negative images  
 30 wherein a film of a polymer having masked functionalities is coated onto a substrate, the film is imagewise exposed, the exposed film is treated with an organometallic reagent, and the treated film is developed with an oxygen plasma. That disclosure contemplates the dry development of polymers similar to those disclosed in U.S. 4,491,628. The dry development process avoids changes in film compositions that lead to processing complications.

35 In Chiong et al., U.S. Patent No. 4,613,398, still other processes are disclosed entailing the removal of acid labile protecting groups from pendent alkaline soluble groups on a resist polymer such as hydroxyl, amine, carboxyl, phenol, or imide NH which are capable of reacting with the organometallic reagent. Upon silylation and further processing negative images are obtained.

In E.P.-A-871153433 filed on 20.10.1987 and assigned to the assignee of the present application, there  
 40 are described certain highly sensitive resists that achieve high autodecomposition temperatures due to the presence of secondary carbonium ion forming acid labile substituent groups on polymers having pendent carbonate or carbonate-like groups.

Ito, J. Polymer Science Part A, 24, 2971-80 (1986) discloses effects of p-hydroxystyrene groups in the thermolysis of poly(p-t-butyloxycarbonyloxy styrene) and devises a method to make such substituted  
 45 polymer via a copolymerization of butyloxycarbonyloxy styrene with formyloxy styrene followed by photofries decomposition to convert the formyloxystyrene units to hydroxystyrene units.

In accordance with the present invention, highly sensitive positive photoresist compositions are made by combining a polymeric material having functional groups pendent thereto which contribute to the solubility of the polymer in alkaline developers, a portion of which functional groups are substituted with  
 50 masking or protecting acid labile groups which inhibit the solubility of the polymer, and a photoinitiator compound which generates a strong acid upon radiolysis which is able to cleave or remove the acid labile groups from the polymer to unmask or deprotect functional sites. From 15 to 40 percent of the pendent functional groups are masked or protected with acid labile groups. The preferred protecting groups will also generate acid when they are cleaved or removed and cause additional cleavage or removal of masking as protecting groups, furthering the formation of a latent image. The most preferred protecting group-functional

group structure is tert-butyl carbonate of a phenol. On acid generated decomposition, it is believed that the mechanism includes formation of a phenoxycarbonyloxy ion and a tertiary carbonium ion which further decompose to provide phenol, carbon dioxide, isobutene and proton (H<sup>+</sup>) fragments, the latter being available for further deprotecting of the polymer. Other protecting groups which generate a proton such as the secondary alkyl substituted moieties as disclosed in EP-A-871153433 mentioned above the disclosure of which is hereby incorporated by reference into this application, may also be used, however, such groups are generally more tightly bound to the groups on the polymeric material functional groups and do not provide as much sensitivity as do the tertbutyl carbonates of phenols.

It has been surprisingly found that a polymer having from 15 to 40 percent substitution with acid labile protecting groups exhibits far more sensitivity than a polymer which is essentially fully protected. This is especially surprising since the fully unprotected polymer provides very limited resolution and image discrimination to a positive tone.

Polymer backbones having pendent aromatic groups provide the thermal and dimensional stability which are desired in order to provide a material which may be applied to a substrate in a uniformly thin coating, which may be baked to remove solvent and which after imaging and patterning provides chemical resistance in subsequent process steps.

The preferred polymer backbone is polystyrene having substituent functional groups on the aromatic ring to impart aqueous alkaline solubility to the polymer. These groups not only must provide solubility, but they must be maskable with a blocking or protective group that is acid removable in response to the radiolysis of the acid generating sensitizer. The functional groups ideally should be one which does not adversely interact with the semiconductor processing environment. For that reason phenolic groups are most preferred.

The partially substituted polymeric materials are not the result of a copolymerization of monomeric materials, but rather result from side chain substitution of homopolymers.

The homopolymers may be prepared in accordance with the methods set forth in Ito et al. U.S. Patent 4,491,628, the disclosure of which is incorporated herein by reference. Those methods include phase transfer reactions, free radical polymerization and cationic polymerization to provide p-tert-butyloxy-carbonyloxy styrene and p-tert-butyloxy-carbonylox- $\alpha$ -methyl styrene.

FIG. 1 is a infrared spectrum showing the progress of decarbonation of the polymer.

FIG. 2 is a correlation between infrared absorbance and mole percent p-hydroxystyrene in the polymer.

FIG. 3 is a graph of absorbance in a 1 cm path-length cell of a 0.02% diglyme solution made with the polymer of the invention.

FIG. 4 is a comparative spectral representation of 1.4  $\mu$ m resist films of various composition.

## Detailed Description

In accordance with the present invention the substituted polymers were prepared as follows:

### Example 1

#### Synthesis of poly-(p-Hydroxystyrene-p-tert-butoxycarbonyloxystyrene)

300 grams of poly p-tert-butoxycarbonyloxystyrene with a molecular weight of 15,000 was dissolved in 1500 ml of 1,2 dimethoxyethane and the solution was heated to 60.0° C. To the stirred solution was added dropwise 20.0 g of concentrated H<sub>2</sub>SO<sub>4</sub>. The solution is kept at 60° C for 3-4 hours to convert 75 mole percent of the p-tert-butoxycarbonyloxystyrene groups to p-hydroxy-styrene. The reaction was followed by IR of the reaction liquid. The IR peak ratio of the hydroxyl (OH) group peak (3400 cm<sup>-1</sup>) to the carbonate group peak (1750 cm<sup>-1</sup>) was used as the monitor to obtain the desired ratio for conversion to a 75 mole percent p-hydroxystyrene (see FIGS. 1 and 2). The mole percent of p-hydroxystyrene can also be determined on the final product by UV 286 nm absorbance of a 0.02 weight percent solution in diglyme (corrected for diglyme absorbance). The ratio of the absorbance of the partially substituted to the absorbance of a 0.01% p-hydroxystyrene at 286 nm is used, see FIG. 3. The p-hydroxystyrene is made by

the complete conversion (acidolysis) of the initial tertbutoxycarbonyloxystyrene polymer. After the desired tertbutoxy-carbonyloxystyrene conversion was determined from the IR monitor, the reaction is quenched by the addition of a solution of potassium carbonate (125 g/ 250 ml water). The decanted liquid from the reaction is precipitated into a ten-fold ratio of water containing 0.05 M ammonium acetate and the product  
 5 washed several times with water. The product was dried overnight in vacuum at 50° C and checked for p-hydroxy-styrene content by UV analysis.

### Example 2

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Two substituted polymer compositions were prepared by solution phase acidolysis of the tertbutoxy-carbonyloxystyrene polymer having a weight-average molecular weight of about 15000 as described in Example 1.

15 The first composition contained about 60 mole % tertbutoxycarbonyloxystyrene and 40 mole % p-hydroxy-styrene and the second composition was about 23 mole % tertbutoxycarbonyloxy styrene and 77 mole % p-hydroxy-styrene as determined by UV spectroscopy. These substituted polymers were formulated in propylene glycol methyl ether acetate with 5% (based on weight of solids) triphenyl-sulfonium hexafluoroantimonate salt and were spin coated on silicon wafers to give film thicknesses of about 1.3  
 20 microns. Following a 115° C 15 minute bake, the resists were imagewise exposed on a Perkin Elmer projection aligner in the UV-2 mode (240-300 nm) at doses ranging from 1-100 mJ/cm<sup>2</sup>. Post exposure conversion was done at 90° C for 90 seconds on a hot plate. The resists were developed at times ranging from 30 seconds to 5 minutes in an aqueous 0.27 N tetramethyl ammonium hydroxide developer solution at room temperature. Alpha step surface profile measurements of undeveloped films showed that the first  
 25 film had 18% film shrinkage while the second resist gave only 7% shrinkage. The tertbutoxy-carbonyloxystyrene homopolymer control gave 33% film shrinkage. Inspection of the developed images showed that the tertbutoxycarbonyloxy styrene polymer control had cracked extensively after only 30 seconds of development. Gross adhesion loss was also noted. The first resist showed a low level of cracking failure after 30 seconds and no adhesion failure. The second resist showed neither cracking nor adhesion failure  
 30 for up to 5 minutes developing time.

Scanning electron microscope inspection of final images showed that the control was underdeveloped at 60 seconds. Longer developed times could not be evaluated due to poor adhesion and cracking. The first resist gave nearly 1 to 1 mask replication after 30 mJ exposure with 30 second development time. Nearly vertical profiles were obtained, with a slight bread-loaf appearance. The second resist required a higher  
 35 exposure dose due to the higher optical density in the 240-300 nm range. At 100 mJ for 120 seconds development time, the second resist gave sidewall having 70-80° angles and no trace of bread-loaf. The first and second resists were capable of resolving the smallest masked feature of 0.75 micron.

FIG. 4 shows UV spectra at 1.4 micron films of the first and second resists as well as of a fully protected control resist. These curves show that the incorporation p-hydroxystyrene into the film increases  
 40 its optical density in the 240-300 nm range. Such incorporation accounts for increased sensitivity and shallower image profiles obtained with the 77% substituted polymers of the second resist.

### Example 3

45

One mole of a p-tert-butyloxycarbonyloxy styrene polymer (BCS) having a molecular weight of 15,000 was dissolved in a 20 weight percent solution of glyme. The solution was then heated under a nitrogen atmosphere to 60° C. To the stirred solution, 0.25 mole of concentrated sulfuric acid was added dropwise  
 50 and the progress of the reaction was monitored by IR spectroscopy until 78 mole percent of the tert-butyloxycarbonyl (BC) groups were removed and the polymer had 22 percent BC substitution and 78 percent hydroxyl substitution. The reaction was quenched with an excess of ammonium hydroxide and ammonium sulfate was filtered off. The polymer solution was precipitated from a solution of excess ammonium acetate, was washed with water, filtered and dried overnight at 60° C in a vacuum.

55

### Example 4

A p-tert-butyloxycarbonyloxy styrene (BCS) polymer having a molecular weight of 15,000 was heated in nitrogen at 160 °C. for two hours. Samples were taken and analyzed for t-butylcarbonyl (BC) content from between 50 mole and 0 mole percent BC remaining. In all cases, the polymer solution in propylene glycol acetate was cloudy and could not be filtered through a 0.2 µm Millipore filter. A sample having 22 mole %  
 5 tert-butyloxycarbonyloxy styrene and 78 mole % p-hydroxy styrene was isolated.

#### Example 5

10 A poly p-hydroxystyrene polymer having a molecular weight of 11,000 reacted with a solution of ditertiarybutylcarbonate in glyme with triethylamine as a catalyst. The reaction product was precipitated in hydrochloric acid, was stirred with ammonium acetate and was washed with water. IR and UV analysis characterized the polymer as containing 22 mole percent of tert-butyloxy carbonyl groups.

#### Example 6

20 Preparation of the substituted polymer by copoly-merization of p-hydroxystyrene and p-t-butyloxy-carbonyloxystyrene monomers was not attempted due to inherent instability of p-hydroxy-styrene monomers.

#### Example 7

25 Polymers having an average mole % composition of 22% tert-butyloxycarbonyloxy styrene and 78 % p-hydroxystyrene derived by the synthetic methods of Examples 3-5 and a control using the BCS starting material of Example 3 were formulated into resists with 7 percent triphenyl sulfonium hexafluoro-antimonate sensitizer in a propylene glycol methyl ether acetate. The films were cast onto substrates, were baked at 95 °C for five minutes, were exposed in deep UV radiation at 254 nm, were post exposure baked at 95 °C for ninety seconds, and were developed in an aqueous 0.27 N tetra-methylammonium hydroxide developer solution.

35 The sensitivity of each resist was determined by measuring step wedge thickness remaining using a criterion of 95 percent of unexposed film remaining while the exposed area was developed at a given dose. The results are shown in Table 1.

Table I

Source of Polymer in Resist	UV Sensitivity, Dose in mJ:cm <sup>2</sup>
Example 3	5
Example 4	25*
Example 5	45
Control	30**

\* Many insoluble particles or residues in image

\*\* Images were cracked and unusable

55 The causes for differences in sensitivity of the resist compositions have polymers having the same ratio of t-butyloxycarbonyloxy groups to hydroxy groups is not understood. The noted differences are reproducible.

Further comparison between the resist made from the Example 3 polymer and a control polymer of p-tert-butyloxycarbonyloxystyrene prepared in accordance with U.S. Pat. 4,491,628 yielded the following data:

Table II

## Polymer in Resist

5

Property	Control	Example 3
Sensitivity		
UV photo speed	30 mJ/cm <sup>2</sup>	5 mJ/cm <sup>2</sup>
E-beam dose	10 $\mu$ C/cm <sup>2</sup>	3 $\mu$ C/cm <sup>2</sup>
X-ray dose	150 mJ/cm <sup>2</sup>	100 mJ/cm <sup>2</sup>
On set of thermal flow	90°C.	165°C.
Post silylatable	No*	Yes
Image shrinkage	37%	7% (after DUV
hardening)		
Cracking in developer	Yes	No Adhesion to
Si,		
Si <sub>3</sub> N <sub>4</sub> surfaces	Poor	Good
RIE erosion	35%	10%
Base developable	Cracked	Excellent,
		no cracks
UV hardenable	Yes with	Yes
	shrinkage	

\* requires flood UV exposure/baking before silylation

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## Example 8

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Another series of polymers were prepared in accordance with the method of Example 3 and were incorporated into resists in accordance with the method of Example 7. The properties resists containing these polymers and the control were compared.

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Table III

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55

	Mole % BC in Polymer				
	100(control)	64	36	20	16
Shrinkage (percent)	37	18	8	7	7
Crack Development	Severe	Moder.	None	None	None
Adhesion	Poor	Fair	Excel.	Excel.	Excel.
Resistance to Alkali	Excel.	Excel.	Excel.	Excel.	Poor
Image Distortion	Severe	Severe	Slight	Undetectable	Undetectable

Only the preferred embodiments of the invention have been described above and one skilled in the art will recognize that numerous substitutions, modifications and alterations are permissible without departing from the spirit and the scope of the invention, as set forth in the following claims.

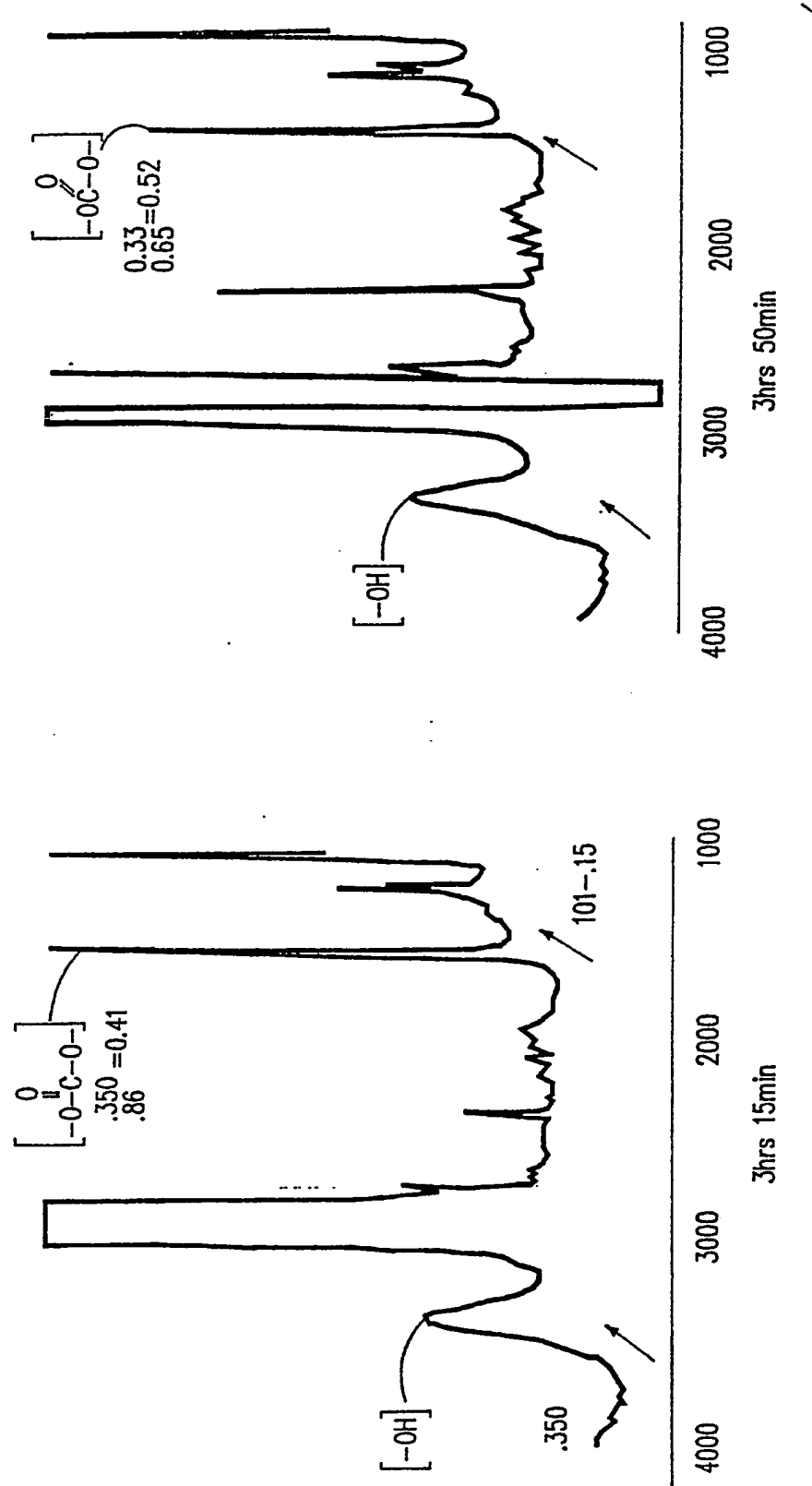
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## Claims

1. An improved positive working resist composition comprising a polymeric material having functional groups pendent thereto which contribute to the solubility of the polymer in alkaline developers and a portion of which functional groups are substituted with acid labile groups which inhibit the alkaline solubility of the polymer, and a photoinitiator which generates a strong acid upon radiolysis to remove the acid labile groups from the functional groups in the exposed areas of the polymer.
2. The resist composition of Claim 1 wherein the polymeric material comprises an aromatic polymer.
3. The resist composition of Claim 2 wherein the aromatic material is a polystyrene.
4. The resist composition of Claim 1 wherein the alkaline soluble functional groups are selected from the group consisting of hydroxyl, amine, carboxyl, and imide NH.
5. The resist composition of Claim 4 wherein the alkaline soluble functional group is hydroxyl.
6. The resist composition of Claim 1 wherein the acid labile group is selected from the group of alkoxycarbonyl, aryloxy carbonyloxy, and alkyloxy carbonyloxy.
7. The resist composition of Claim 6 wherein the alkyl portion of the acid labile group has an available hydrogen on a carbon atom.
8. The resist composition of Claim 7 where the alkyl portion of the acid labile group is secondary alkyl or tertiary alkyl.
9. The resist composition of Claim 1 wherein from about 20% to about 50% of the pendent groups are substituted with acid labile groups.
10. The resist composition of Claim 1 wherein the polymer is a polystyrene, the functional group is hydroxyl, and the acid labile group is a secondary or tertiary alkyloxy carbonyloxy group.
11. The resist composition of Claim 11 wherein the polymer is a p-hydroxystyrene and the acid labile group is t-butyloxy carbonyloxy.
12. The method of making a substituted polymeric material for use in resist compositions, comprising the steps of:
  - (a) dissolving a polymer having acid labile groups pendent to the polymer backbone thereof in an acid stable solvent,
  - (b) heating the solution with stirring under an unreactive atmosphere to a temperature in the range 20 to 70° C,
  - (c) adding concentrated mineral acid to the stirred solution in an amount sufficient to remove acid labile groups from the polymer,
  - (d) continuing the reaction until the desired degree of substitution is achieved,
  - (e) quenching the reaction with base, and
  - (f) precipitating the substituted polymeric material.
13. The method of claim 12 wherein the polymer is polystyrene.
14. The method of claim 12 wherein the acid labile groups are selected from the group consisting of alkoxycarbonyl, aryloxy carbonyloxy and alkyloxy carbonyloxy.
15. The method of claim 14 wherein the alkyl portion of the acid labile group has an available hydrogen on a carbon atom.
16. The method of claim 15 wherein the alkyl portion of the acid labile group is secondary alkyl or tertiary alkyl.
17. The method of claim 16 wherein the acid labile group is t-butyloxy carbonyloxy.
18. The method of claim 12 wherein the pKa of the acid is less than about 4.
19. The method of claim 18 wherein the mineral acid is sulfuric acid.
20. The method of claim 12 wherein the reaction temperature is in the range of 50-60° C.
21. The method of claim 12 wherein the reaction is quenched with ammonium hydroxide.
22. The method of claim 12 wherein the substituted polymeric material is precipitated in ammonium acetate.

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**FIG. 1**

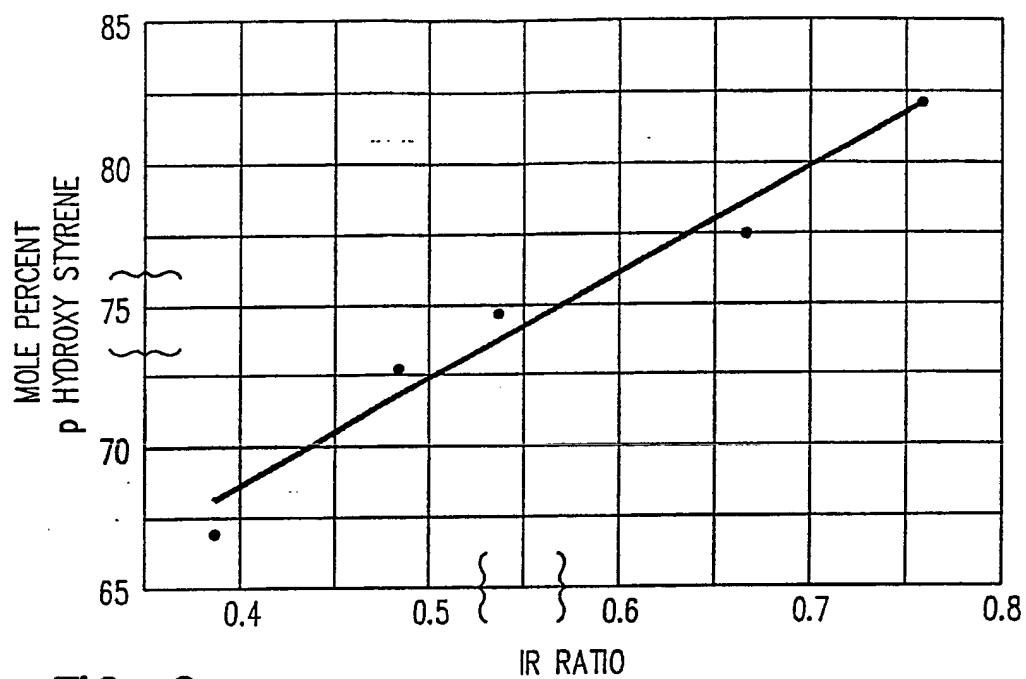


FIG. 2

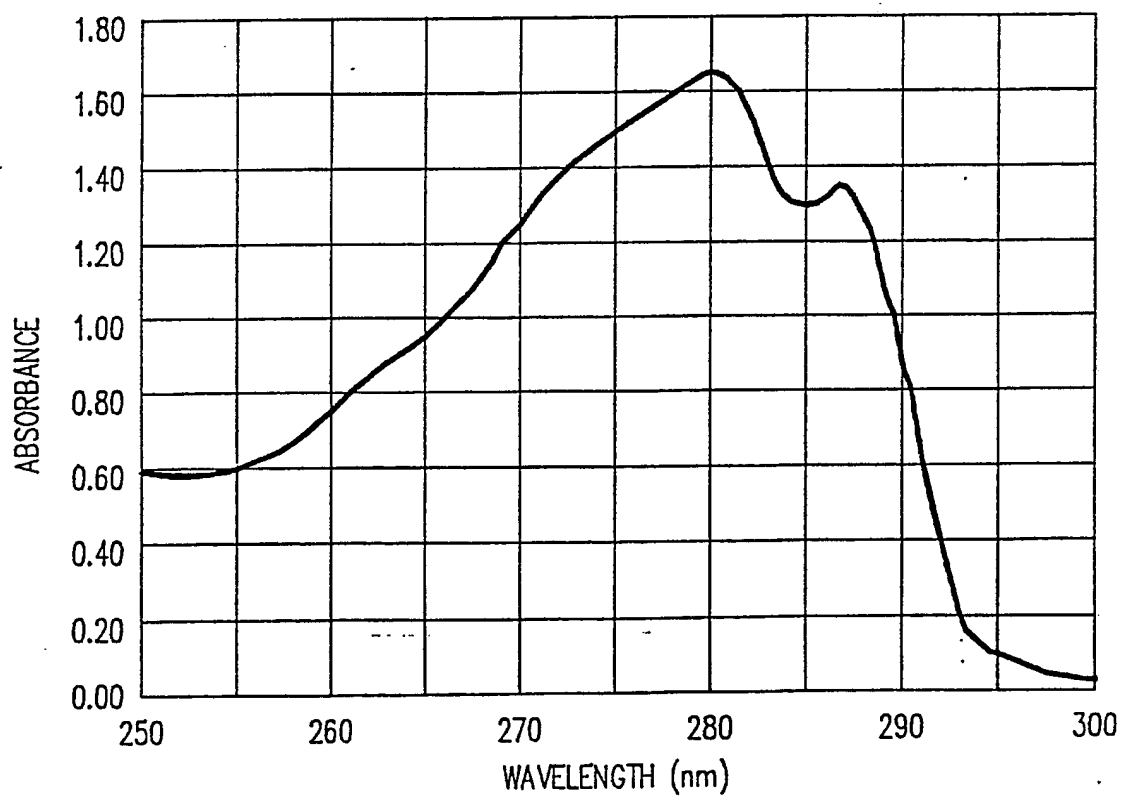


FIG. 3

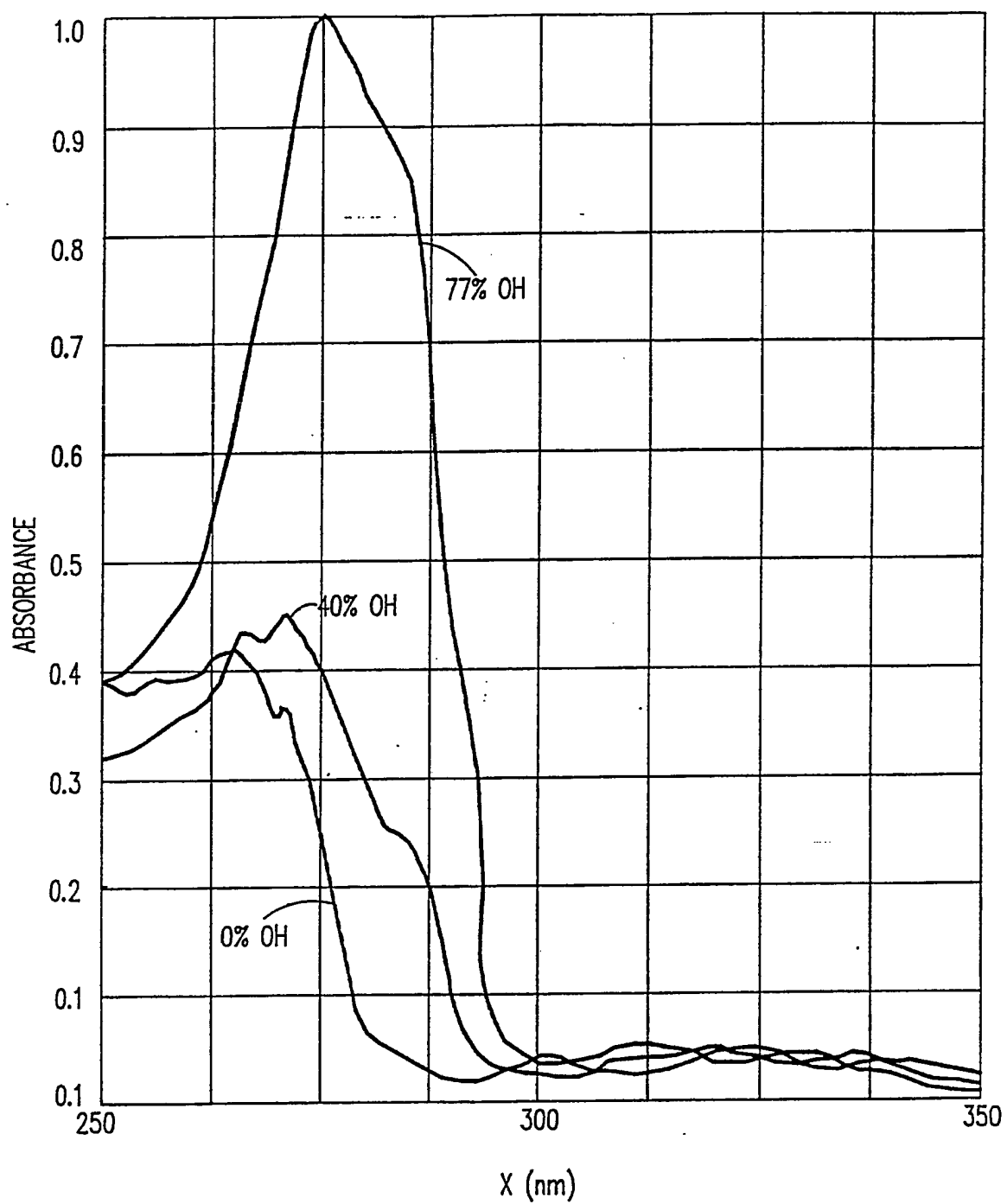


FIG. 4